

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Inventor(s): Steven Alan Lytle  
Thomas Michael Wolf  
Allen Yen

Case: 9-8-4

Serial No.: 09/454003

Filing Date: December 3, 1999

Examiner: M. Warren Group Art Unit: 2815

Title: Define Via In Dual Damascene Process

"Express Mail" Mailing Label  
Number: EF29937695705  
Date of Deposit 10/27/01

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ASSISTANT COMMISSIONER FOR PATENTS  
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SIR:

INFORMATION DISCLOSURE STATEMENT  
CERTIFICATION UNDER 37 CFR 1.97 b(3)

In accordance with 37 CFR 1.97 b(3), the enclosed Information Disclosure Statement,  
with attached reference (s), is submitted for consideration in the above-identified application.

In a telephone conversation with the Examiner on June 22, 2001, the examiner confirmed  
that there has not yet been a substantive examination of the claims. Copies of the listed  
documents are enclosed together with the ~~search~~ FR report that listed these documents.

**NO FEE REQUIRED.**

In the event of any non-payment or improper payment of a required fee, the  
Commissioner is authorized to charge or to credit Agere Systems Inc. Deposit Account No. 50-  
1735 as required to correct the error.

Respectfully,

By Ferdinand M. Romano  
Ferdinand M. Romano  
Reg. No. 32,752

Agere Systems Inc.

Date: 28 June 2001

**INFORMATION DISCLOSURE STATEMENT**

CASE S. A. Lytle 9-8

SERIAL NO.

APPLICANT:

S. A. Lytle et al.

FILING DATE:

GROUP:

Examiner: Initial box if reference is considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

**U. S. PATENT DOCUMENTS**

- ☐ AA: Patent No. 5,604,059, Filed on August 15, 1994 and issued on February 18, 1997 to Ryo Imura et al.  
Class: 430/5.

ATT: References as noted above

Examiner:

Date:

**INFORMATION DISCLOSURE STATEMENT**

CASE

9-8-4

SERIAL NO. 09/454003

APPLICANT: A. Lytle, et al.

FILING DATE: 12/03/1999 GROUP: 2815

Examiner: Initial box if reference is considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

**FOREIGN PATENT DOCUMENTS**

- ☐ AA: Patent No. GB 2 345 791 A, issued in Europe on 19.07.2000 to NEC Corporation, Class: H01L 21/768; Translation: YES.
- ☐ AB: Patent No. WO 95/08840 A1, issued 30.03.1995 to The Government of the United States, Class: H01L 21/44, Translation: YES. ✓
- ☐ AC: Patent No. GB 2 330 453 A, issued 21.04.1999 to Mitsubishi Denki Kabushiki Kaisha, Class H01L 21/768, Translation: YES ✓
- ☐ AD: Patent No. 0 459 772 A2, issued 29.05.1991 to Canan Kabushiki Kaisha, Class H01L 21/485. ✓ Translation: YES
- ☐ AE: Patent No. 0 394 722 A2, issued 05.04.1990 to NEC Corporation, Class H01L 21/90, H01L 23/532 ✓ Translation: YES

ATT: References as noted above

Examiner:

Date: